

**GENERAL INFORMATION SHEET**  
FOR THE YEAR **2018**  
**STOCK CORPORATION**

**Securities and Exchange Commission OFFICE**  
Electronic Security & Integrity Division

**ICTD** MAY 09 2019 11

**DO NOT LEAVE**

- GENERAL INSTRUCTIONS:**
- FOR USER CORPORATION THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL MEETING—CLOSED MEETING. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
  - IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
  - THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
  - THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
  - SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
  - ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
  - THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

CORPORATE NAME: <b>CARTAS LIFE INSURANCE CORPORATION</b>		DATE REGISTERED: <b>11/21/08</b>
BUSINESS/TRADE NAME: <b>CARTAS LIFE INSURANCE CORPORATION</b>		FISCAL YEAR END: <b>December 31</b>
SEC REGISTRATION NUMBER: <b>CS200841233</b>		CORPORATE TAX IDENTIFICATION NUMBER (TIN): <b>280-178-808</b>
DATE OF ANNUAL MEETING PER BY-LAWS: <b>EVERY MARCH 15TH OF EACH YEAR</b>		WEBSITE/URL ADDRESS: <b>www.cartaslife.com.ph</b>
ACTUAL DATE OF ANNUAL MEETING: <b>19-Mar-19</b>		E-MAIL ADDRESS:
COMPLETE PRINCIPAL OFFICE ADDRESS: <b>CARTAS CORPORATE CENTER, 97 E. RODRIGUEZ SR. AVE., QUEZON CITY</b>		TAX NUMBER:
COMPLETE BUSINESS ADDRESS: <b>CARTAS CORPORATE CENTER, 97 E. RODRIGUEZ SR. AVE., QUEZON CITY</b>		TELEPHONE NUMBER: <b>825-7122</b>
NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: <b>REYES TAGANDONG &amp; CO.</b>		INDUSTRY CLASSIFICATION: <b>INSURANCE BUSINESS</b>
SEC ACCREDITATION NUMBER (if applicable):		GEOGRAPHICAL CODE:
PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:		
<b>INTERCOMPANY AFFILIATIONS</b>		
<b>PARENT COMPANY</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>
<b>CARTAS HEALTH SHIELD INC.</b>	<b>AS08-004019</b>	<b>CARTAS CORPORATE CENTER, 97 E. RODRIGUEZ SR. AVE., Q.C.</b>
<b>SUBSIDIARY/AFFILIATE</b>	<b>SEC REGISTRATION NO.</b>	<b>ADDRESS</b>

NOTE: USE ADDITIONAL SHEET IF NECESSARY

Corporate Name: **CARTAS LIFE INSURANCE CORPORATION**

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)  Yes  No

Please check the appropriate box:

<p>1.</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Banks</li> <li><input type="checkbox"/> b. Offshore Banking Units</li> <li><input type="checkbox"/> c. Quasi-Bank</li> <li><input type="checkbox"/> d. Trust Entities</li> <li><input type="checkbox"/> e. Non-Stock Savings and Loan Associations</li> <li><input type="checkbox"/> f. Pawnshops</li> <li><input type="checkbox"/> g. Foreign Exchange Dealers</li> <li><input type="checkbox"/> h. Money Changers</li> <li><input type="checkbox"/> i. Remittance Agents</li> <li><input type="checkbox"/> j. Electronic Money Issuers</li> <li><input type="checkbox"/> k. Financial institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.</li> </ul>	<p>4. <input type="checkbox"/> Jewelry dealers in precious metals, who, as a business, trade in precious metals</p>
<p>2.</p> <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> a. Insurance Companies</li> <li><input type="checkbox"/> b. Insurance Agents</li> <li><input type="checkbox"/> c. Insurance Brokers</li> <li><input type="checkbox"/> d. Professional Reinsurers</li> <li><input type="checkbox"/> e. Reinsurance Brokers</li> <li><input type="checkbox"/> f. Holding Companies</li> <li><input type="checkbox"/> g. Holding Company Systems</li> <li><input type="checkbox"/> h. Pre-need Companies</li> <li><input type="checkbox"/> i. Mutual Benefit Association</li> <li><input type="checkbox"/> j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)</li> </ul>	<p>5. <input type="checkbox"/> Jewelry dealers in precious stones, who, as a business, trade in precious stone</p>
<p>3.</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. Securities Dealers</li> <li><input type="checkbox"/> b. Securities Brokers</li> <li><input type="checkbox"/> c. Securities Salesman</li> <li><input type="checkbox"/> d. Investment Houses</li> <li><input type="checkbox"/> e. Investment Agents and Consultants</li> <li><input type="checkbox"/> f. Trading Advisors</li> <li><input type="checkbox"/> g. Other entities managing Securities or rendering similar services</li> <li><input type="checkbox"/> h. Mutual Funds or Open-end Investment Companies</li> <li><input type="checkbox"/> i. Close-end Investment Companies</li> <li><input type="checkbox"/> j. Common Trust Funds or Issuers and other similar entities</li> <li><input type="checkbox"/> k. Transfer Companies and other similar entities</li> <li><input type="checkbox"/> l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on</li> <li><input type="checkbox"/> m. Entities administering or otherwise dealing in valuable objects</li> <li><input type="checkbox"/> n. Entities administering or otherwise dealing in cash substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)</li> </ul>	<p>6. Company service providers which, as a business, provide any of the following services to third parties:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. acting as a formation agent of juridical persons</li> <li><input type="checkbox"/> b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons</li> <li><input type="checkbox"/> c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement</li> <li><input type="checkbox"/> d. acting as (or arranging for another person to act as) a nominee shareholder for another person</li> </ul>
<p>8. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GSR?</p>	<p>7. Persons who provide any of the following services:</p> <ul style="list-style-type: none"> <li><input type="checkbox"/> a. managing of client money, securities or other assets</li> <li><input type="checkbox"/> b. management of bank, savings or securities accounts</li> <li><input type="checkbox"/> c. organization of contributions for the creation, operation or management of companies</li> <li><input type="checkbox"/> d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities</li> </ul> <p>8. <input type="checkbox"/> None of the above</p> <p>Describe nature of business: <b>LIFE INSURANCE</b></p>
<p><input checked="" type="radio"/> Yes <input type="radio"/> No</p>	

**GENERAL INFORMATION SHEET**

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **CARTAS LIFE INSURANCE CORPORATION**

**CAPITAL STRUCTURE**

**AUTHORIZED CAPITAL STOCK**

	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (P=₱) (No. of shares X Par/Stated Value)
	COMMON	8,000,000	100.00	800,000,000.00
	-----	-----	-----	-----
	-----	-----	-----	-----
	-----	-----	-----	-----
<b>TOTAL</b>		<b>8,000,000</b>	<b>TOTAL ₱</b>	<b>800,000,000.00</b>

**SUBSCRIBED CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (P=₱)	% OF OWNERSHIP
	18	COMMON	8,010,000	-----	100.00	801,000,000.00	100.00%
	-----	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----	-----
<b>TOTAL</b>			<b>8,010,000</b>	<b>TOTAL</b>	<b>TOTAL ₱</b>	<b>801,000,000.00</b>	<b>100.00%</b>

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **	PAR/STATED VALUE	AMOUNT (P=₱)	% OF OWNERSHIP
-----	-----	-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----	-----	-----
<b>TOTAL</b>			-----	<b>TOTAL</b>	<b>TOTAL ₱</b>	<b>-----</b>	<b>-----</b>

Percentage of Foreign Equity: **TOTAL** **TOTAL** **TOTAL ₱** **TOTAL SUBSCRIBED ₱** **0.00**

**PAID-UP CAPITAL**

FILIPINO	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (P=₱)	% OF OWNERSHIP
	18	COMMON	8,010,000	100.00	801,000,000.00	100.00%
	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----
	-----	-----	-----	-----	-----	-----
<b>TOTAL</b>			<b>8,010,000</b>	<b>TOTAL ₱</b>	<b>801,000,000.00</b>	

FOREIGN (INDICATE BY NATIONALITY)	NO. OF STOCK-HOLDERS	TYPE OF SHARES *	NUMBER OF SHARES	PAR/STATED VALUE	AMOUNT (P=₱)	% OF OWNERSHIP
-----	-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----	-----
-----	-----	-----	-----	-----	-----	-----
<b>TOTAL</b>			-----	<b>TOTAL</b>	<b>TOTAL ₱</b>	<b>-----</b>

**0.00%** **TOTAL** **TOTAL PAID-UP ₱** **801,000,000.00**

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification  
 \*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

## GENERAL INFORMATION SHEET

STOCK CORPORATION  
PLEASE PRINT LEGIBLY

CORPORATE NAME: <b>CARITAS LIFE INSURANCE CORPORATION</b>								
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. <b>AVENILDO P. AVENTURA</b> 36 Macapat St., Diliman, Quezon City	Filipino	Y	M	M	Y	Chairman Emeritus	N/A	115-893-948
2. <b>RONNIE U. COLLADO</b> 36 A. Mabuhay St., Diliman, Quezon City	Filipino	N	M	M	Y	N/A	N/A	128-428-289
3. <b>JAY D. CRISOSTOMO</b> 225 J.P. Real St., Sta. Elena, Marikina City	Filipino	N	M	M	Y	N/A	N/M R/M I/M	131-948-740
4. <b>RAFE M. DIAZ</b> 822 L22 SM Homes, Camarin Road, Novaliches, Quezon City	Filipino	N	M	F	Y	N/A	N/A	115-773-654
5. <b>LOURDES ANN D. CO</b> 18 F. Jose de Vera, Gilmore Townhomes, Quezon City	Filipino	N	M	F	Y	N/A	N/A	126-686-455
6. <b>EDGAR C. DE ASIS</b> 247 Bonifacio Ave., Marikina City	Filipino	N	M	M	Y	N/A	N/A	109-674-172
7. <b>LYDIA K. DOMINGO</b> 10 Chico St., Northview 2 Filinvest 2, Batasan Hills, Quezon City	Filipino	N	M	F	Y	N/A	A/M RPT/M	115-773-662
8. <b>ANA MARIA K. ESCALONA</b> Unit 9-1, The Address at Wack-Wack, 567 Old Wack-Wack Road, Mandaluyong City	Filipino	N	M	F	Y	President & CEO	N/M I/C	183-123-226
9. <b>CHARLES B. ESCOLIN</b> 129 B Libre St., Singco Hermanos, Marikina City	Filipino	N	M	M	Y	Corporate Secretary	N/A	131-282-578
10. <b>RUSEN G. HENSON</b> 66 McArthur Highway, Angeles City, Pampanga	Filipino	N	M	M	Y	N/A	N/A	113-212-681-001
11. <b>MARIANO T. KATIPUNAN, JR.</b> 4 Bel-Air, One Roxas Triangle, Paseo de Roxas, Urdaneta, Makati City	Filipino	Y	M	M	Y	Treasurer & CFO	I/C	132-144-207
12. <b>EDNA M. SORIANO</b> 85 L25 Chicago St., Bifan City, Laguna	Filipino	N	M	F	Y	N/A	A/C RPT/C	133-062-741
13. <b>DOMINGO M. NAVARRO</b> 22 London St., Capital Homes Subd., Quezon City	Filipino	N	C	M	Y	Chairman of the Board	N/C	106-183-464
14. <b>AMELIA O. VISAYA</b> 40 Naples St., BF Homes, Las Piñas City	Filipino	N	M	F	Y	N/A	A/M RPT/M	115-957-957
15. <b>JEFFREY K. YULDE</b> 8 Homer St., Filinvest East, Calamba, Rizal	Filipino	N	M	M	Y	N/A	N/A	227-321-671

**INSTRUCTION:**

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

PLEASE PRINT LEGIBLY

<b>CORPORATE NAME:</b> CARITAS LIFE INSURANCE CORPORATION						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 18		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 1				
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> P= 1,238,918,119						
STOCKHOLDERS INFORMATION						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (P=)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (P=)	% OF OWNERSHIP		
1. CARITAS HEALTH SHIELD, INC. Filipino Caritas Corporate Center, 37 E. Rodriguez St. Ave., Quezon City	Common	6,009,989	600,998,900.00	100.00%	600,998,900	904-628-890
	<b>TOTAL</b>	<b>6,009,989</b>	<b>600,998,900.00</b>			
	2. CRISSENCO R. ASSE Filipino	Common	1	100.00	0.00%	100
<b>TOTAL</b>		<b>1</b>	<b>100.00</b>			
3. AVERILO P. AVENTURA Filipino		Common	1	100.00	0.00%	100
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
	4. RONNIE U. COLLADO Filipino	Common	1	100.00	0.00%	100
<b>TOTAL</b>		<b>1</b>	<b>100.00</b>			
5. JAY D. CRISTOFANO Filipino		Common	1	100.00	0.00%	100
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
	6. RAFFI M. DIAZ Filipino	Common	1	100.00	0.00%	100
<b>TOTAL</b>		<b>1</b>	<b>100.00</b>			
7. LYDIA K. DOMINGO Filipino		Common	1	100.00	0.00%	100
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
	<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>600,998,900.00</b>	<b>100.00%</b>	<b>600,998,900.00</b>
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For POF Nominee included in the list, please indicate further the beneficial owners owning more than 10% of any class of the company's voting securities. Attach separate sheet, if necessary.*

6,009,989	600,998,900
7	700
4	400
6,016,900	601,000,000

**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

PLEASE PRINT LEGIBLY

<b>CORPORATE NAME:</b> CARTAS LIFE INSURANCE CORPORATION	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 28	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 1
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b> P19,129,916,159	

**STOCKHOLDERS INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED			% OF OWNERSHIP	AMOUNT PAID (PSP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PHP)			
1. LOURDES AMR D. CO Filipino	Common	1	100.00	0.00%	100	123-144-207
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
2. ANA MARIA E. RECAONA Filipino	Common	1	100.00	0.00%	100	000-400-637
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
10. CHARLES R. ESCOLIN Filipino	Common	1	100.00	0.00%	100	00248-C2472-A-9
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
11. RUBEN G. HENSON Filipino	Common	1	100.00	0.00%	100	125-770-654
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
12. MARIANO T. SATIPUNAN, JR. Filipino	Common	1	100.00	0.00%	100	14048-G-3095-A-1
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
13. EDGAR C. DE ABR Filipino	Common	1	100.00	0.00%	100	123-082-741
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
14. DOMINGO M. MAHARO Filipino	Common	1	100.00	0.00%	100	100-293-464
	<b>TOTAL</b>	<b>1</b>	<b>100.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>700</b>	<b>0.00%</b>	<b>700.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>			<b>700</b>	<b>0.00%</b>	<b>700.00</b>	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC issuances included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: CARITAS LIFE INSURANCE CORPORATION	
TOTAL NUMBER OF STOCKHOLDERS: 28	NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 1
TOTAL ASSETS BASED ON LATEST AUDITED FB: Pp 1,208,216,128	

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (P/F)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (P/F)	% OF OWNERSHIP		
15. RENE K. ADRES Filipino	Common	1	100.00	0.00%	100	124-275-812
	TOTAL	1	100.00			
16. SONIA M. BORJAO Filipino	Common	1	100.00	0.00%	100	124-275-412
	TOTAL	1	100.00			
17. AMELINDA YBARRA Filipino	Common	1	100.00	0.00%	100	121-125-582
	TOTAL	1	100.00			
18. JEFFREY S. TULZE Filipino	Common	1	100.00	0.00%	100	125-127-884
	TOTAL	1	100.00			
TOTAL AMOUNT OF SUBSCRIBED CAPITAL			400	0.00%	400.00	
TOTAL AMOUNT OF PAID-UP CAPITAL						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PSEC holders included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet if required.

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====			
CORPORATE NAME: <b>CARITAS LIFE INSURANCE CORPORATION</b>			
<b>1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION</b>	<b>AMOUNT (PhP)</b>	<b>DATE OF BOARD RESOLUTION</b>	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (issued by Private Corporation)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
<b>2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY):</b>		<b>DATE OF BOARD RESOLUTION</b>	<b>DATE OF STOCKHOLDERS RATIFICATION</b>
<b>3. TREASURY SHARES</b>		<b>NO. OF SHARES</b>	<b>% AS TO THE TOTAL NO. OF SHARES ISSUED</b>
<b>4. UNRESTRICTED/INAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR</b>			
<b>5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:</b>			
<b>TYPE OF DIVIDEND</b>	<b>AMOUNT (PhP)</b>	<b>DATE DECLARED</b>	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY			
<b>TOTAL</b>	<b>P</b>		
<b>6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:</b>			
<b>DATE</b>	<b>NO. OF SHARES</b>	<b>AMOUNT</b>	
<b>SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOVT AGENCY:</b>			
<b>NAME OF AGENCY:</b>	<b>SEC</b>	<b>BSP</b>	<b>IC</b>
<b>TYPE OF LICENSE/REGH.</b>			
<b>DATE ISSUED:</b>			
<b>DATE STARTED OPERATIONS:</b>			
<b>TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (In PhP)</b>	<b>TOTAL NO. OF OFFICERS</b>	<b>TOTAL NO. OF RANK &amp; FILE EMPLOYEES</b>	<b>TOTAL MANPOWER COMPLEMENT</b>

NOTE: USE ADDITIONAL SHEETS IF NECESSARY



**BENEFICIAL OWNERSHIP DECLARATION**

**A. Natural person who ultimately owns or controls the corporation:**

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.

**B. Natural person who exercises ultimate effective control<sup>[1]</sup> over the corporation:**

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.

**CORPORATE STOCKHOLDERS:<sup>[2]</sup>**

**ULTIMATE BENEFICIAL OWNER (3)**

CORPORATE NAME AND BUSINESS/TRADE NAME	COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.
CARITAS HEALTH SHIELD, INC.					

**OTHER STOCKHOLDERS:**

**A. PARTNERSHIPS**

**ULTIMATE BENEFICIAL OWNER<sup>[4]</sup>**

PARTNERSHIP NAME	COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.
N/A					

**B. TRUSTS**

**ULTIMATE BENEFICIAL OWNER<sup>[5]</sup>**

NAME	COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension [i.e., Jr., Sr., III])	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	% OF OWNERSHIP	TAX IDENTIFICATION NO.
N/A					

1. Refer to the definition of ultimate effective control under Section 2.2. of SEC Memorandum Circular No. 17, series of 2018. Indicate the intermediate layers of the company's ownership structure, if any, in a separate sheet and attach an ownership chart clearly showing the intermediate layers with their respective ownership amounts.

2. Refer to the definition of beneficial owner under Sections 1.1 and 2.2. of SEC Memorandum Circular No. 17, series of 2018. 3. the natural person who ultimately owns or controls the partnership or who exercises ultimate effective control over the partnership.

4. Refers to 5. Identity of the persons who control the trust and make the investment decisions; trust beneficiaries and the trustee; and individuals who furnish funds into the trust.

I, **CHARLES B. ESCOLIN**, Corporate Secretary of **CARITAS LIFE INSURANCE CORPORATION** declare under penalty of perjury that all matters set forth in this GIS have been duly verified by me and to the best of my knowledge and belief are true and correct.

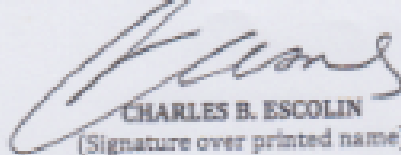
By indicating herein the personal information of the incorporators, stockholders, directors, officers, beneficial owners, external auditor, notary public and their agents, I attest that the aforementioned persons authorize the SEC to: (1) collect, process and store their personal information through the GIS forms and related documents, for the period allowed under the applicable laws and regulations; and (2) share with and make available the GIS to interested parties in order to pursue lawful purposes and legitimate interests, and comply with legal mandate.

I also attest that the company and the aforementioned persons authorized the undersigned to file this GIS with SEC, and the information provided herein are true, accurate, timely and complete.

I further attest that they are aware of their rights under the Data Privacy Act, including the right to be informed, to access, to object, to erasure or blocking, to damages, to file a complaint, to rectify and to data portability, and understand that there are procedures, conditions and exceptions to be complied with in order to exercise or invoke such rights.

I likewise understand that the failure of the corporation to file this GIS for five (5) consecutive years shall be construed as non-operation of the corporation and a ground for the revocation of the corporations' certificate of incorporation in this eventuality, the corporation hereby waives its right to hearing for the said revocation.


Done this \_\_\_\_\_ day of APR 05 2019, 2019 in Quezon City, Philippines

  
**CHARLES B. ESCOLIN**  
(Signature over printed name)

**APR 05 2019**

**SUBSCRIBED AND SWORN TO** before me in Quezon City, Philippines on \_\_\_\_\_ by affiant who personally appeared before me and exhibited to me his competent evidence of identity consisting of Unified Multi-Purpose I.D. bearing CRN-0111-2715993-0.

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Book No. 2  
Series of 2019

  
**HIYAS M. FRANCISCO**  
Notary Public for Quezon City  
Commission No. NP - 317 (2018 - 2019)  
Mezzanine, Katipunan Building  
No. 66 E. Rodriguez Sr. Ave. Quezon City  
Roll No. 54882

MCLE Compliance No. VI - 0000084 / June 3, 2016  
IBP No. 069802 / January 17, 2019 / Laguna  
PTR No. 7377344 / January 8, 2019 / Quezon City